The NAP technical guidelines
Element D: Reporting, monitoring and review (pages 104-116)

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Elements of the NAP process (decision 5/CP.17, annex)

1) **Laying the groundwork and addressing gaps**
   - Gap analysis
   - Institutional arrangements
   - National policies / programmes

2) **Preparatory elements**
   - Scenarios and assessments (e.g. V&A), etc…
   - Identification and prioritization of options
   - Develop overarching national strategy, etc…

3) **Implementation strategies**
   - Implement activities, policies, programmes
   - Build necessary capacities on an ongoing basis
   - Enhance knowledge base, etc…

4) **Reporting, monitoring and review**
   - Address inefficiencies, incorporate results of new assessments, etc..
   - Monitor and review efforts undertaken
Monitoring, reporting and review

why?
- To monitor and review progress and effectiveness of the NAP process, make adjustments if required and determine review periods

who?
- Lead: Technical committee in collaboration with coordination team
- Potential stakeholders: line ministries involved in CC issues, independent evaluation team, statistics office, civil society, private sector

when?
- Throughout the NAP process

output
- Plan for monitoring and review including a strategy for ongoing data and information collection; regular monitoring and review as well as progress reports
Element D: Reporting, monitoring and review

**Objective:** Ensure the effectiveness of the NAP process

**Expected outputs**
1. Progress reports
2. Evaluation reports
3. Review reports
4. Updated plans

**Activities**
1. Monitor and assess progress
2. Evaluate and review the process
3. Update the NAP regularly

**Outcome**
- The NAP process is transparent to internal and external stakeholders and can be adjusted to ensure effectiveness
# Key questions (NAP technical guidelines, page 25)

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<th>D. Reporting, Monitoring and Review</th>
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| **1. Monitoring the NAP process** | - Which areas of the NAP process are key for its effectiveness and should thus be the focus of the monitoring process?  
- What information and metrics are needed to monitor progress, effectiveness, gaps and lessons of the NAP process? |
| **2. Reviewing the NAP process to assess progress, effectiveness and gaps** | - What will be the time interval for reviewing the NAP process?  
- How would progress, effectiveness and gaps best be quantified and assessed and which information from outside of the NAP process is required? |
| **3. Iteratively updating the national adaptation plans** | - What are the frequency and/or triggers for an update of the NAPs and related outputs?  
- Which of the previous steps of the NAP process would be repeated in order to produce an update of the NAPs?  
- How can the updating of the NAPs be aligned with other development planning processes to ensure harmonization and the identification of co-benefits? |
| **4. Outreach on the NAP process and reporting on progress and effectiveness** | - How can NAP documents best be disseminated to the UNFCCC secretariat and other stakeholders?  
- What kind of information needs to be included in reporting on progress and effectiveness of the NAP process in national communications?  
- What other channels can be used to report on progress to the COP and other stakeholders? |
Monitoring, evaluation and review

Identify areas of the NAP process that are most relevant for its effectiveness to be monitored, based on M&E strategy.

Define metrics to measure progress in selected areas.

Collect information on metrics throughout NAP process.

Process metrics

Input metrics

Output metrics

Results-based metrics

Impact metrics

Review progress and effectiveness of NAP process through the evaluation of the information gained through the metrics, also considering emerging science.
D.1. Monitoring the NAP process

a. Identify (few) areas that would be evaluated through qualitative and quantitative performance measures as part of monitoring and assessment of progress, effectiveness and gap analysis of the NAP process.

b. For the areas identified above, define specific metrics for documenting progress, measuring and communicating levels of effectiveness and assessing gaps under the NAP process, and a data collection plan.

c. Collect information on the metrics, throughout the NAP process.
D.2. Reviewing the NAP process to assess progress, effectiveness and gaps

a. Compile and synthesize information of new assessments and emerging science, as well as the results and outcomes of adaptation activities, to support the review and update of the NAPs and related outputs

b. Review, on a regular basis, activities undertaken as part of the NAP process by evaluating the information collected through the monitoring processes
Indicative activities

D.3. Iteratively updating the national adaptation plans

a. Update the national adaptation plans, and related documentation, based on a frequency specified in the national mandate, framework or strategy for the NAP process, by repeating selected steps as appropriate.

b. Work towards aligning the production of updates with relevant national development plans.
Indicative activities

D.4. Outreach on the NAP process and reporting on progress and effectiveness

a. Disseminate the NAP documents and related outputs to the UNFCCC secretariat and to other relevant stakeholders, as these become available

b. Provide information in national communications on progress in and effectiveness of the NAP process
Outreach

- National stakeholders
  - High-level decision makers
  - Line ministries

- UNFCCC Secretariat
  - COP
  - AC, LEG
  - NAP website

- General public
  - NGOs
  - Private sector
  - General public
Thank you