Accessing GCF NAP Readiness Support-the case of Zambia

Kidanemariam Jembere, GWP
GCF Programming

**GCF COUNTRY PROGRAMMING**
- Priority sectors
- Investment Projects
- Portfolio (costed)
- Readiness needs
- Coordination Mechanism
- Stakeholder engagement mechanism

**READINESS**
1. Capacity Building
2. Strategic Framework - low emission-NDC
3. Adaptation Planning/NAP
4. Pipeline Devt.
5. Knowledge sharing

**CONCEPT NOTES**

**FUNDING PROPOSALS**

**CLIMATE IMPACT**

**FACILITY**

**PROJECT PREPARATION**

Iterative process

Country programme

Entity programme

Pipeline development

Funding proposals

Results & learning

Investment

Project implementation
GCF Programming

GCF COUNTRY PROGRAMMING
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- Investment Projects
- Portfolio (costed)
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READINESS
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GCF Zambia NAP Project (USD 2.18M)

1. Phase 1: Overall NAP
2. Phase 2: Sectoral NAP (water+)
Summary: Experience of Zambia in accessing GCF Readiness Support for NAP

1. Preparations prior to NAP proposal
   - Identify a Delivery Partner (DP) - GWP
   - Strengthen relationships between key mandated institutions, UNFCCC FP, NDA, Min of Water
   - Stocktake and identify focus areas (7th NDP, NDCs, resource mobilization)
   - Engage stakeholders and define roles - stakeholder workshop to introduce the NAP formulation process and GCF Readiness Support
   - Develop a roadmap - initial
   - Formally nominate GWP as a DP

2. NAP Proposal Development
   - Designated Lead Expert for drafting NAP proposal (DP - GWP)
   - Establish Zambia Technical Team
   - Draft NAP proposal (DP - GWP) - lots of consultations
   - Review NAP proposal (Zambia Technical Team (Climate Change Dept.))
   - Review NAP proposal (Zambia National Climate Change Technical Committee)
   - Endorse NAP Proposal and submit to GCF (Zambia NDA)

3. NAP Proposal Review and Approval
   - Five rounds of reviews by GCF
   - DP (GWP), Zambia Climate Change Department and NDA addressed comments
   - Project Management Structure**
## Proposal submission and review process

| Date of Initial Submission: | 17-Dec-18 | Date of GCF review comments (Rd 1): | 8-Mar-19 | 58 |
| Date of 1<sup>st</sup> resubmission by NDA with input of Delivery Partner: | 12-Jul-19 | Date of GCF review comments (Rd 2): | 9-Sep-19 | 28 |
| Date of 2<sup>nd</sup> resubmission by NDA with input of Delivery Partner: | 1-Oct-19 | Date of GCF review comments (Rd 3): | 5-Nov-19 | 16 |
| Date of 3<sup>rd</sup> resubmission by NDA with input of Delivery Partner: | 20-Nov-19 | Date of GCF review comments (Rd 4): | 13-Dec-19 | 3 |
| Date of 3rd resubmission by NDA with input of Delivery Partner: | 07 Feb 2020 | Date of GCF review comments (Rd 5): | 4-Mar-20 | 1 |
### GCF NAP-Zambia: Project Implementation Arrangement

<table>
<thead>
<tr>
<th><strong>Council of Ministers</strong></th>
<th>(Chaired by Vice President)</th>
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<tr>
<td></td>
<td>▪ Climate Resilient Green Economy</td>
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<tr>
<th><strong>National Climate Change Steering Committee (CCSC)</strong></th>
<th>(Chaired by PS, Min of Finance/Plan)</th>
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<td></td>
<td>▪ Oversee the overall coordination of climate change programs</td>
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<tr>
<th><strong>National Climate Change Technical Committee (CCTC)</strong></th>
<th>(Chaired by PS, Min of Green Economy &amp;Environment)</th>
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<td></td>
<td>▪ Oversee the implementation of the GCF Project.</td>
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<td>▪ Assumes the Project Steering Committee (PSC)</td>
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<tr>
<th><strong>Climate Change Department (CCD)</strong></th>
<th>(Led by a Director, Ministry of Green Economy &amp; Environment)</th>
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<td></td>
<td>▪ Secretariat for the CCTC which is the PSC.</td>
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<td></td>
<td>▪ Coordinate climate change programs at national level</td>
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<th><strong>Project Management Unit (PMU) (GWPSA)</strong></th>
<th>(Led by a Project Focal Point)</th>
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<td></td>
<td>▪ PMU at GWPSA reports to the CCD</td>
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<td></td>
<td>▪ Has technical and financial staff</td>
</tr>
<tr>
<td></td>
<td>▪ overall project implementation, management &amp; reporting</td>
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<td></td>
<td>▪ -finance/narrative</td>
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<th><strong>Project Management Unit (PMU) (CCD)</strong></th>
<th>(Led by a Project Manager)</th>
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<tr>
<td></td>
<td>▪ PMU at CCD reports to PMU at GWPSA and CCD</td>
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<td></td>
<td>▪ Has administration and finance assistant.</td>
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<td></td>
<td>▪ responsible for the day to day activities of the project.</td>
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A comprehensive NAP for Zambia

Prosperous, Climate Resilient, and Green Economy (Vision 2030)
Climate change vulnerabilities reduced, adaptive capacity built, and resilience improved in Zambia's economic systems, livelihoods, and ecosystems

1. Institutional coordination and collaboration for adaptation planning
   (Strengthening institutional coordination for Adaptation)

2. A system of integrating climate change adaptation into plans and budgets
   (Climate Change Adaptation in Planning)

3. Prioritizing adaptation actions for sectors & geographic areas
   (Prioritizing Adaptation Actions)

4. Capacity for implementing NAP
   (Capacity for Adaptation Actions)

5. Strategy for mobilizing financial & other resources for NAP implementation
   (Resources for Adaptation Action)
A comprehensive NAP for Zambia—contributing to project pipeline development for investment

1. Institutional coordination and collaboration for adaptation planning
2. A system of integrating climate change adaptation into plans and budgets
3. Prioritizing adaptation actions for sectors & geographic areas
4. Capacity for implementing NAP
5. Strategy for mobilizing financial & other resources for NAP implementation

Knowledge base for adaptation planning
Tools & capacities for CCA options appraisal & prioritization
Prioritized CCA actions with implementation strategies

Project ideas
A phased approach-overarching NAP followed by sectoral NAP-Water

**Phase 1: overall NAP**

The overarching NAP framework will enable long term strategic planning and coordination of adaptation in line with National Development Planning processes and foster coherence and synergies with sectoral NAP planning processes.

**Phase 2: Water NAP**

Recognising ‘water as a connector’, essential to all sectors, a Water NAP will serve as an important pathway for building resilience and strengthen synergies with the water-sensitive sectoral plans in Zambia such as health and agriculture.
Some Lessons

1. **Stocktaking exercise**: review of policies, strategies, plans, programs, institutional arrangement, data and information...The National Climate Change Coordination Structure.

2. **Engaging stakeholders**, mainly the key mandated government organizations- Zambia NDA, UNFCCC FP and Ministry of Water

3. **Raise awareness** on climate vulnerabilities and risks, the NAP formulation process and on the GCF Readiness Support process

4. **Identify focus areas**. Eg. integrating CCA into the 7th NDP, sectoral and sub-national/geographic areas plans

5. **Develop clear Theory of Change** —NAP’s contribution towards Zambia’s Green Economy and Climate Resilience building agenda

6. **Build on existing programs and systems** ...Zambia’s National Climate Change Coordination Structure. Ensure country ownership and alignment with national processes

7. **Effective partnership between UNFCCC FP, NDA and DP (GWP)**

8. **Follow the GCF Guidelines** in addressing key requirements such as Gender, Private sector; and **Engage GCF** for support while initiating, developing and reviewing proposal
Thank You!